

Gene trees vs species tree

Tomáš Fér

2023

some parts based on

<http://tandy.cs.illinois.edu/astral-tutorial.pdf> (Siavash Mirarab)

<http://tandy.cs.illinois.edu/astrid-ssb-v2.pdf> (Pranjal Vachaspati)

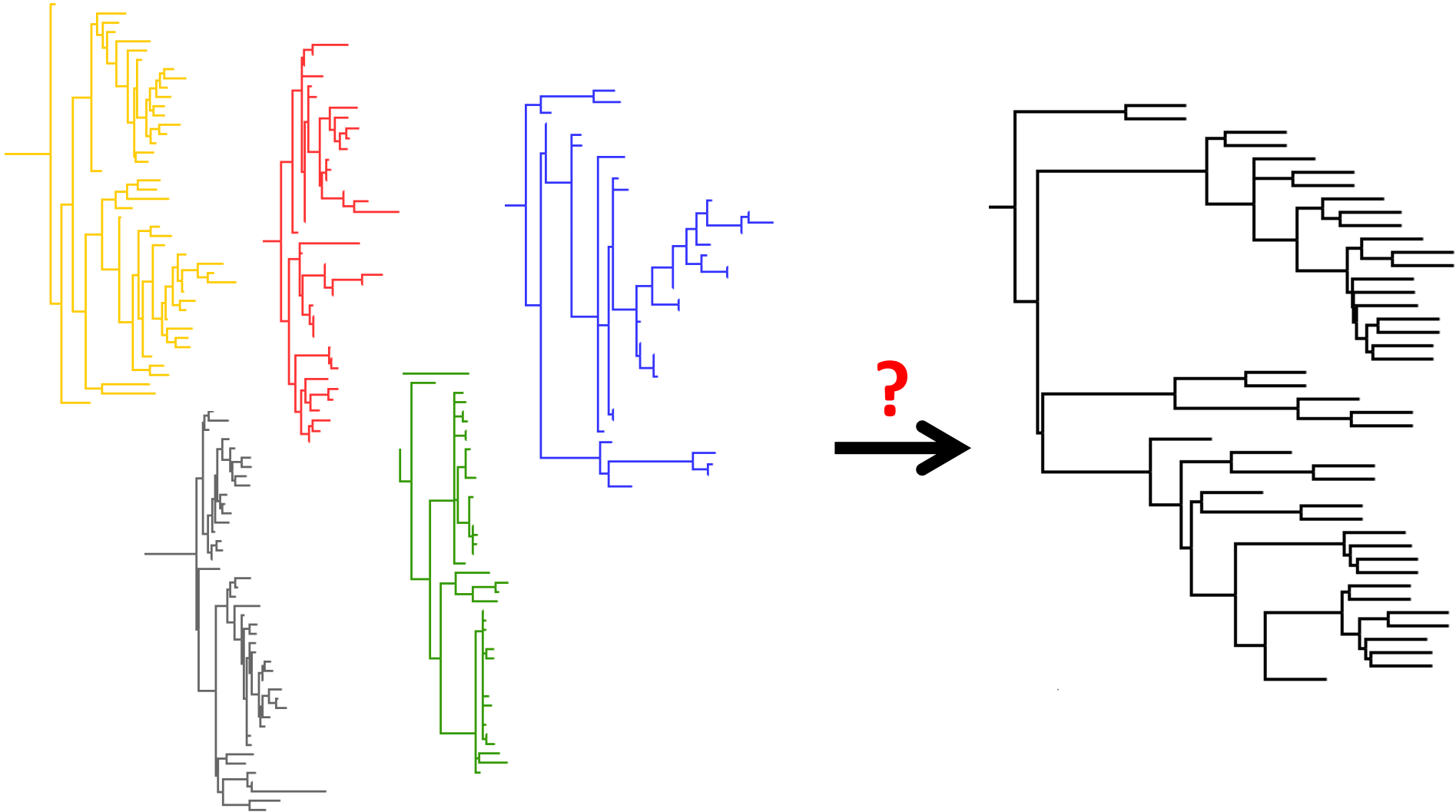
Outline

- gene trees and gene tree-species tree incongruence
- species tree estimation approaches
- multispecies coalescence (MSC)
 - coestimation (*BEAST)
 - summary methods
- ASTRAL
 - quartets under MSC
 - input/output
 - assumptions/problems
 - multiple individuals per species
 - filtering data
 - localPP vs. MLBS
 - branch lengths
 - tree scoring
 - polytomy testing
- ASTRID
 - principle, accuracy
- MRL
- Quartet Sampling
 - principle, applications
- Gene selection/filtering
 - calculating alignment/tree characteristics

Practicals

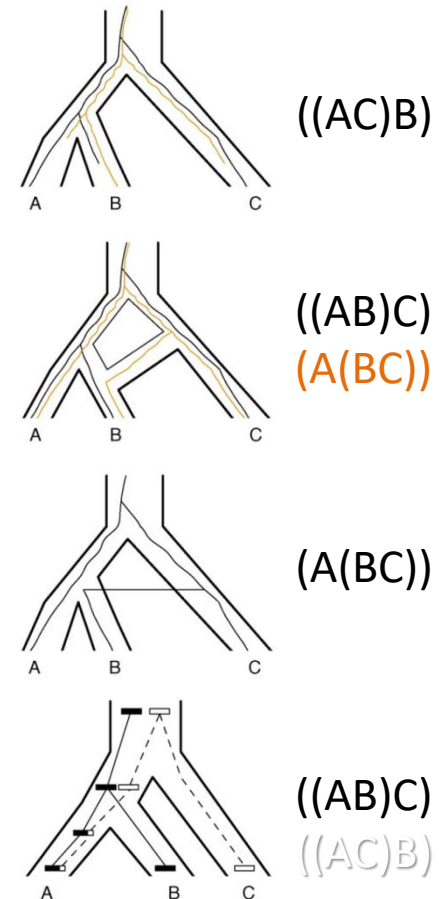
- ASTRAL
- ASTRID
- MRL
- Quartet Sampling
- alignment/tree characteristics (AMAS/R)

Species tree from gene trees

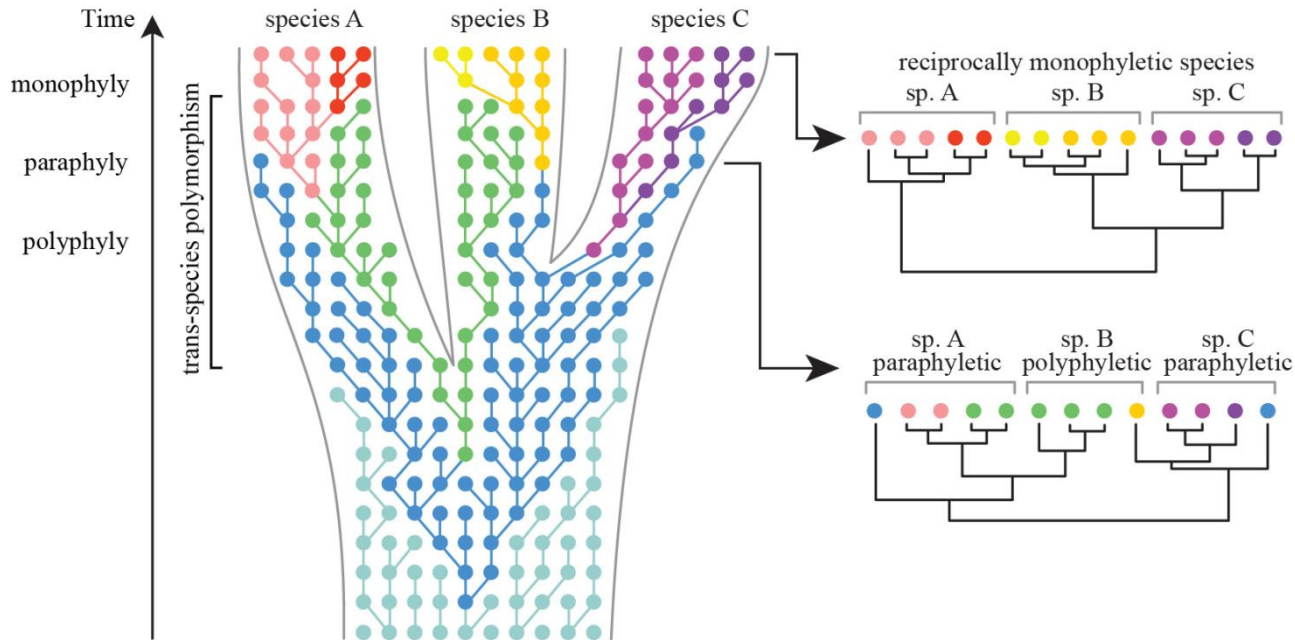


Gene tree incogruence

- incomplete lineage sorting (ILS)/deep coalescence
- gene duplications and losses (orthology problem)
- hybridization/polyploidization
 - affects whole genomes
- horizontal gene transfer (HGT)
 - affects small DNA segments
- recombination
 - different histories for neighboring segments in genes

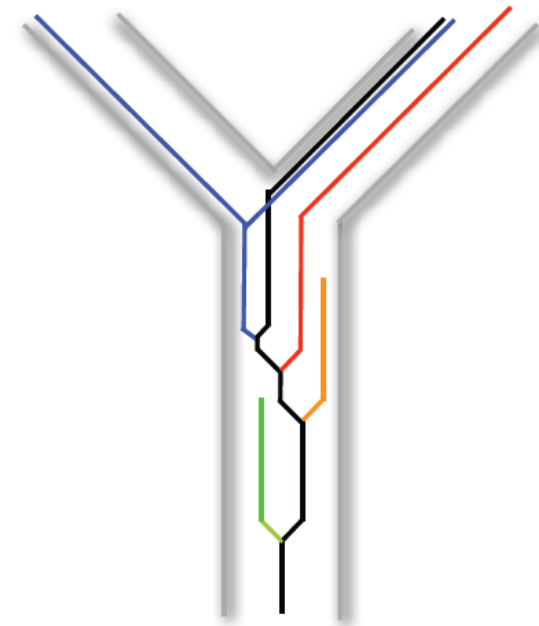


Coalescence processes

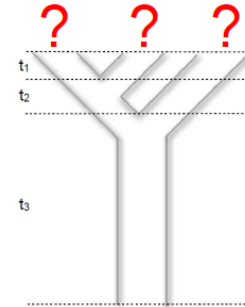
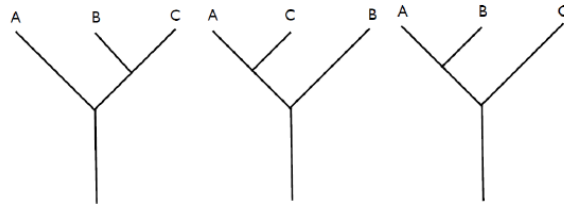


<https://frederikleiaert.wordpress.com/green-algae/dna-based-species-delimitation-in-algae/>

incomplete lineage sorting



Species tree estimation



- **concatenation**
- multispecies coalescence
 - *BEAST (coestimation of gene trees and species tree)
 - **summary** methods (combining gene trees)
- **supertree** methods
 - MRL (maximum representation using likelihood)
- Bayesian **concordance** analysis (BUCKy)
 - quartet-based Bayesian species tree estimation
- **site-based** methods
 - SNAPP, SVDquartets

Concatenation

- put all the loci after each other (superalignment, supermatrix)
- very good accuracy under low ILS model conditions
- i.e., good approach unless strong ILS

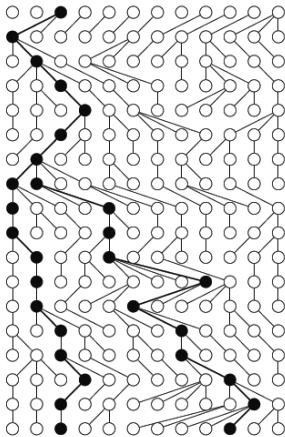
- **single** partition model
 - the whole alignment analyzed with the same parameters
 - statistically inconsistent

- **multiple** partitions model (ML or Bayesian)
 - each alignment (or even codon position) analyzed with separate parameters
 - best partitioning scheme by, e.g., PartitionFinder or ModeltestNG or IQtree
 - fully partitioned analysis
 - maximum likelihood (CA-ML) - RAxML-ng, ExaML
 - or Bayesian inference – MrBayes, ExaBayes

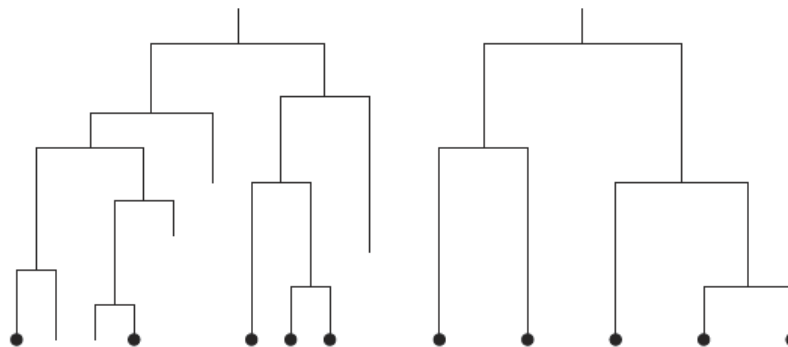
Multispecies coalescent

- coalescent model applied to gene trees in a species tree
 - combines coalescent and birth-death models

coalescence



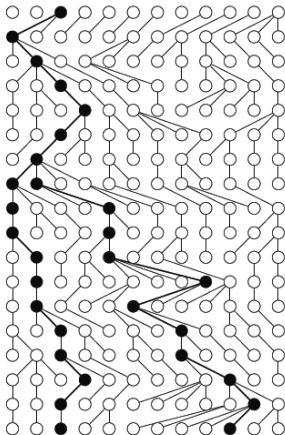
birth-death model



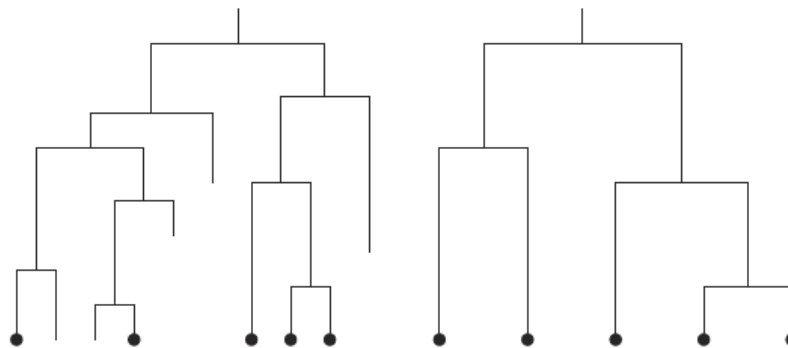
Multispecies coalescent

- used to assemble separate coalescent processes occurring in populations connected by an evolutionary tree
 - coalescent tree distribution (probability of sharing common ancestor t generations back)
 - birth-death model with stochastic rate of birth and death
 - describes probability of gene tree(s) within a species tree

coalescence

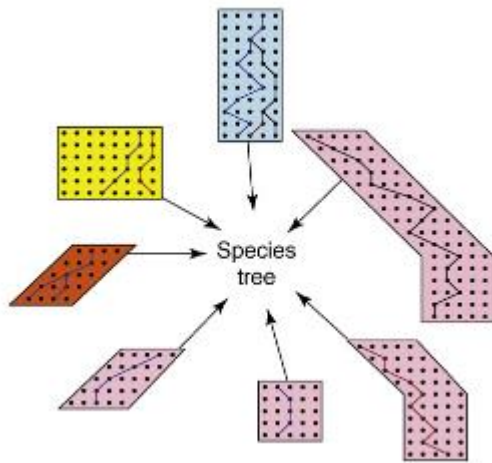


birth-death model



Multispecies coalescent

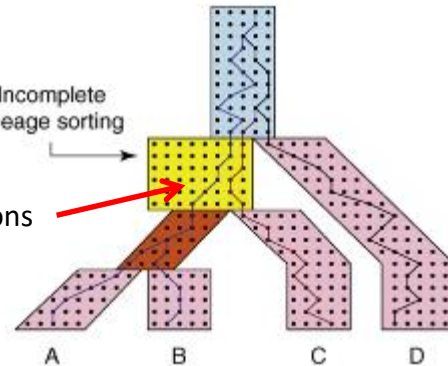
dot	individual gene copy
row	generation
lines	connection to gene ancestors in previous generations



the coalescent in several populations
pink pops – only one lineage (gene copy)

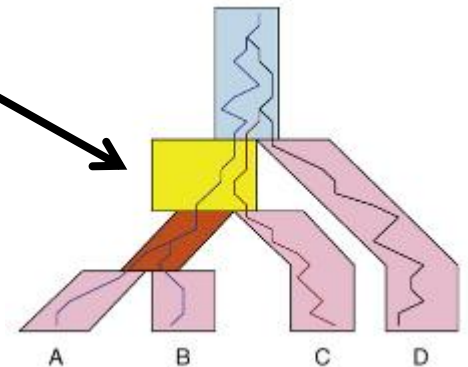
fail to coalesce within populations

Incomplete lineage sorting



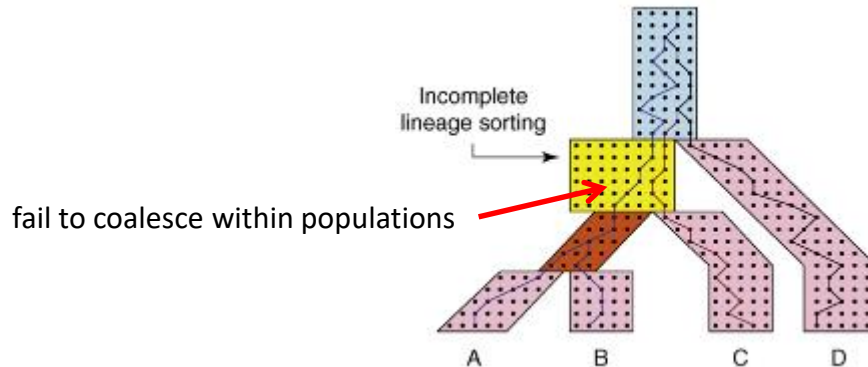
populations arranged by evolutionary relationships

gene tree ((AB)(CD)) in a species tree ((AB)C)D

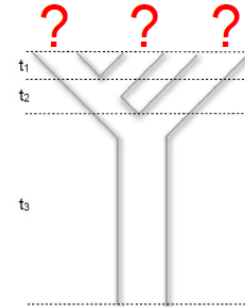
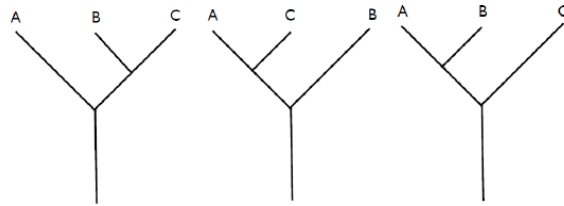


Multispecies coalescent

- (incomplete) lineage sorting
 - particular types of genealogical pattern
 - process explaining gene tree discordance
 - failure of lineages in a population to coalesce



Species tree estimation

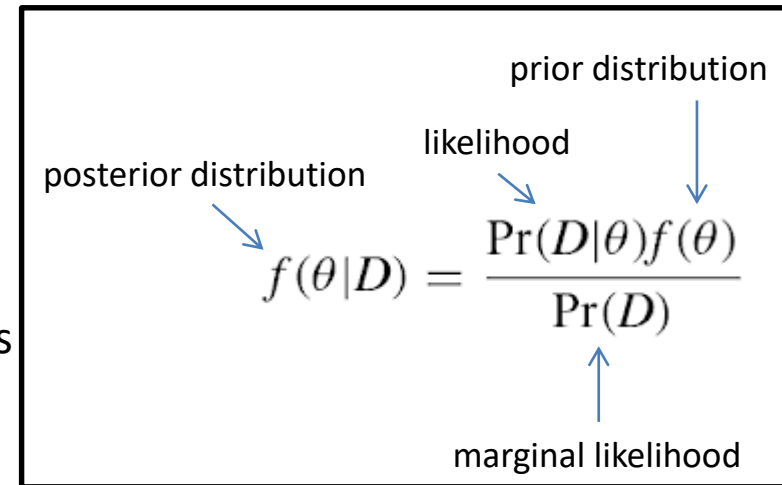


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- **multispecies coalescence**
 - *BEAST (**coestimation** of gene trees and species tree)
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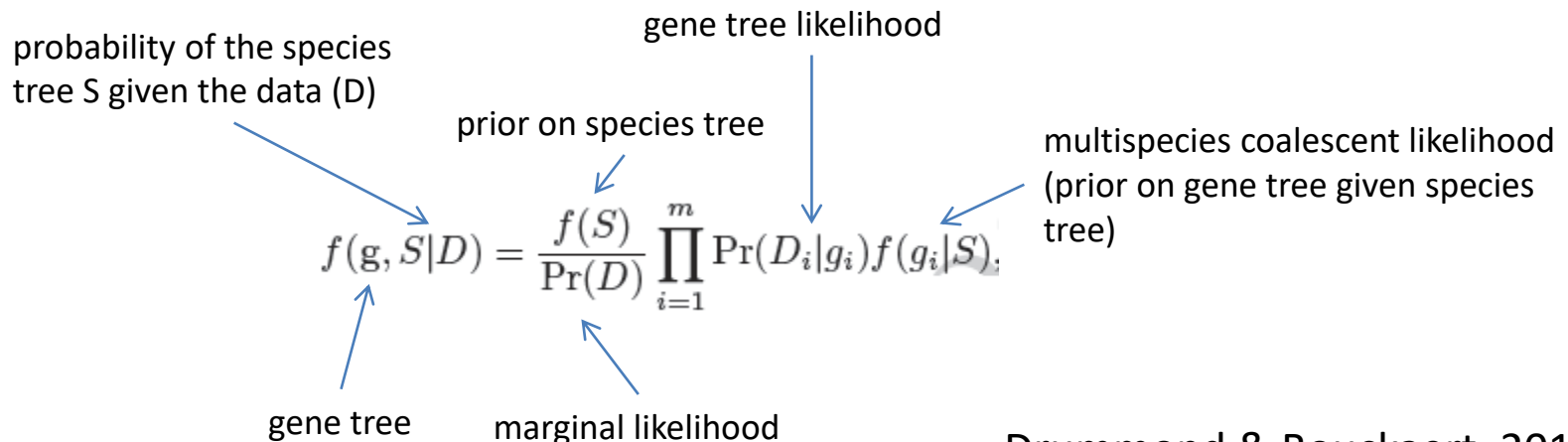
*BEAST

STAR-BEAST = Species Tree Ancestral Reconstruction

- Bayesian framework for species tree reconstruction
- assumptions
 - no recombination within locus
 - free recombination between loci
 - no hybridization
 - each sample mapped to appropriate species


$$f(\theta|D) = \frac{\text{Pr}(D|\theta)f(\theta)}{\text{Pr}(D)}$$

original Bayesian theorem


$$f(g, S|D) = \frac{f(S)}{\text{Pr}(D)} \prod_{i=1}^m \text{Pr}(D_i|g_i) f(g_i|S)$$

*BEAST

STAR-BEAST = **S**pecies **T**ree **A**ncestral **R**econstruction

- co-estimates gene trees and species tree
- most accurate species tree method
- computationally intensive

- not suitable for large datasets, i.e.
 - no more than ~50 loci
 - no more than ~20-30 species

- BBCA – divide-and-conquer technique (Zimmermann et al., 2014)

Summary methods

Species tree estimation

require rooted gene trees

- **MP-EST** – maximum pseudo-likelihood approach for estimating species trees
- **STAR** – species tree estimation using average ranks of coalescences

unrooted gene trees

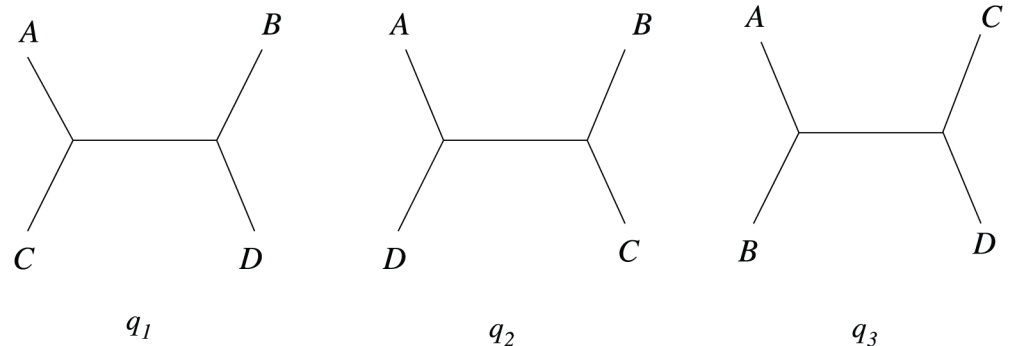
- **STEAC** – species tree estimation using average coalescence times
- **ASTRAL** – Accurate Species Tree Reconstruction ALgorithm
- **ASTRID** – Accurate Species TREes from Internode Distances (reimplementation of NJ_{st} method)

site-based methods (estimate species trees from the distribution on site pattern within unlinked loci)

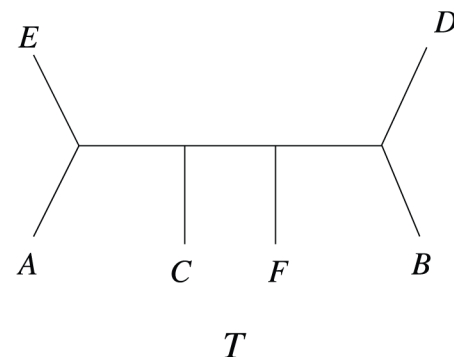
- **SNAPP** – SNP and AFLP Package for Phylogenetic analysis
- **SVDquartets**

Tree reconstruction from quartets

- quartet – unrooted tree over 4 taxa
- three possible quartets
- only one quartet q is consistent with final tree T

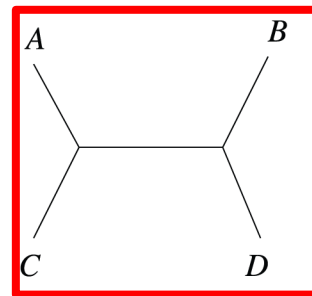


- which *quartet* is consistent with T ?

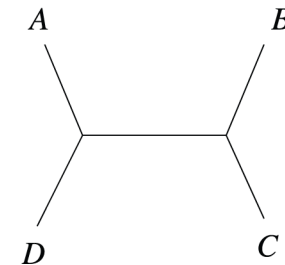


Tree reconstruction from quartets

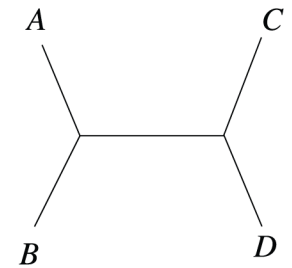
- quartet – unrooted tree over 4 taxa
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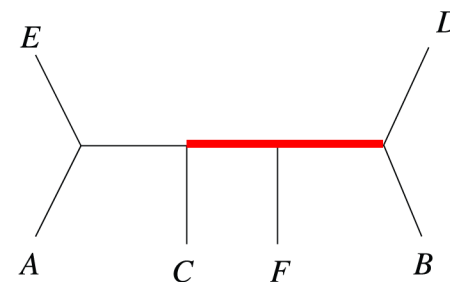
q_1



q_2



q_3



T

ASTRAL

Accurate **S**pecies **T**ree **R**econstruction **A**lgorithm

<https://github.com/smirarab/ASTRAL>

- unrooted gene trees
- species tree that agrees with the largest number of quartet trees induced by the set of gene trees
- weighting all three alternative quartet topologies according to their relative frequencies within gene trees
 - much more frequent topology – trees without this topology are penalized
 - similar frequencies (i.e., close to 0.33) – the quartet has little impact to optimization
- final species tree with
 - local posterior probability that the branch is in the species tree
 - the length of internal branches in coalescent units

Unrooted quartets under MSC model

- **for a quartet (4 species)** – the most probable unrooted quartet tree (among the gene trees) is the unrooted species tree topology
- **for 5 or more species** – the unrooted species tree topology can be different from the most probable gene tree (called “anomaly zone”)
 - break gene trees into quartets of species
 - find the species tree with the maximum number of induced quartet trees shared with the collection of input gene trees (NP-hard optimization problem)
 - statistically consistent under the multispecies coalescent model with error-free input
 - solved by dynamic programming – ASTRAL

ASTRAL versions

- ASTRAL-I (<v. 4.3.7)
- ASTRAL-II (<v. 5.1.0)
 - improved the accuracy at the expense of running time
 - can handle polytomies
- ASTRAL-III (>v. 5.1.1)
 - changed the search space again for a better running time versus accuracy trade-off
 - improved running time for unresolved trees

ASTRAL input/output

- input – unrooted gene trees
 - missing data allowed
 - polytomies allowed
 - multiple alleles per species allowed

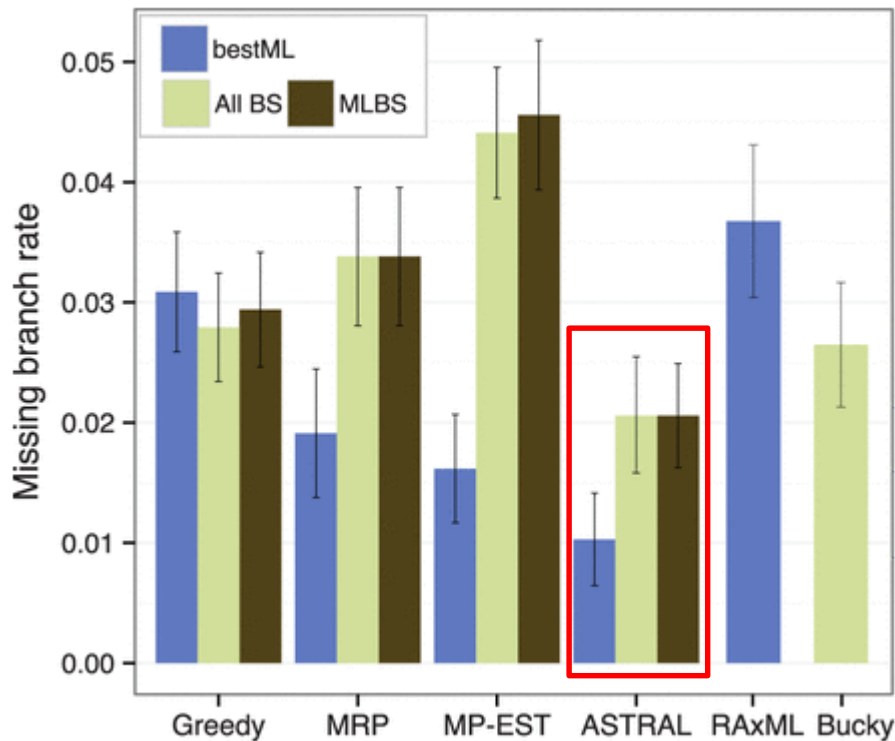
- output – estimated unrooted species tree
 - branch lengths in coalescent units (on internal branches)
 - measure of branch support (LPP, local posterior probability)

ASTRAL problems

- assumption for statistical consistency
 - randomly distributed sample of gene trees
 - recombination-free
 - reticulation-free
 - error-free
 - orthologous
- in practice: reduced accuracy with low accuracy gene trees

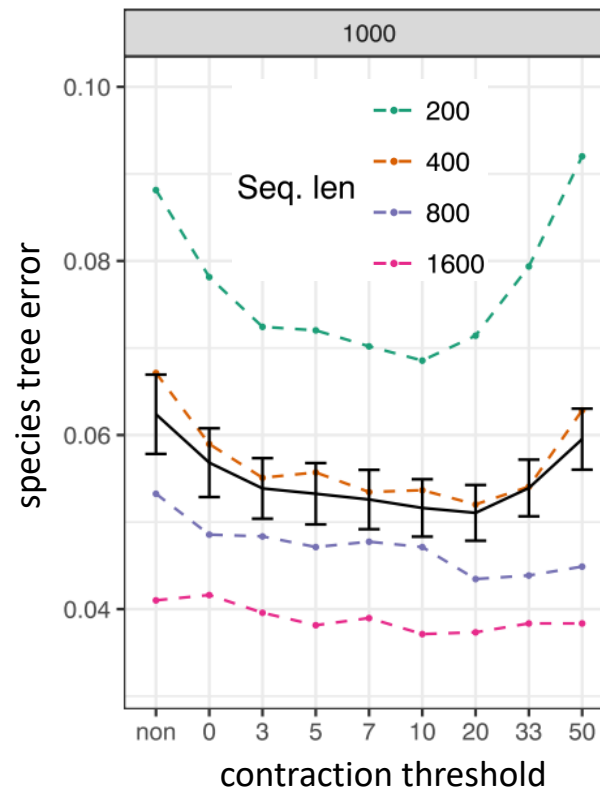
How input gene trees influence ASTRAL

BS and MLBS degrade accuracy
compared to simple ML gene trees



Mirarab et al. 2014, Bioinformatics

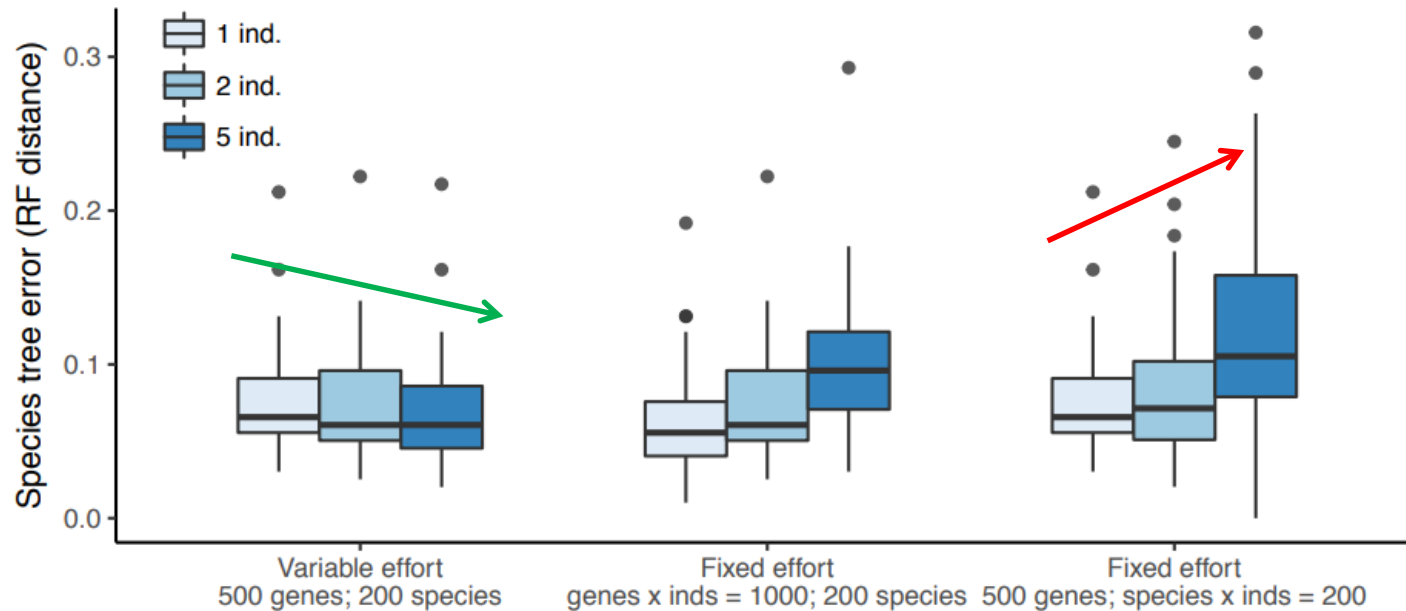
contraction of branches with very low
support helps



Zhang et al. 2018, BMC Bioinformatics

Multiple individuals per species

- sampling multiple individuals – extra signal
- individual can be non-monophyletic in gene trees (i.e., in recently diversified groups)

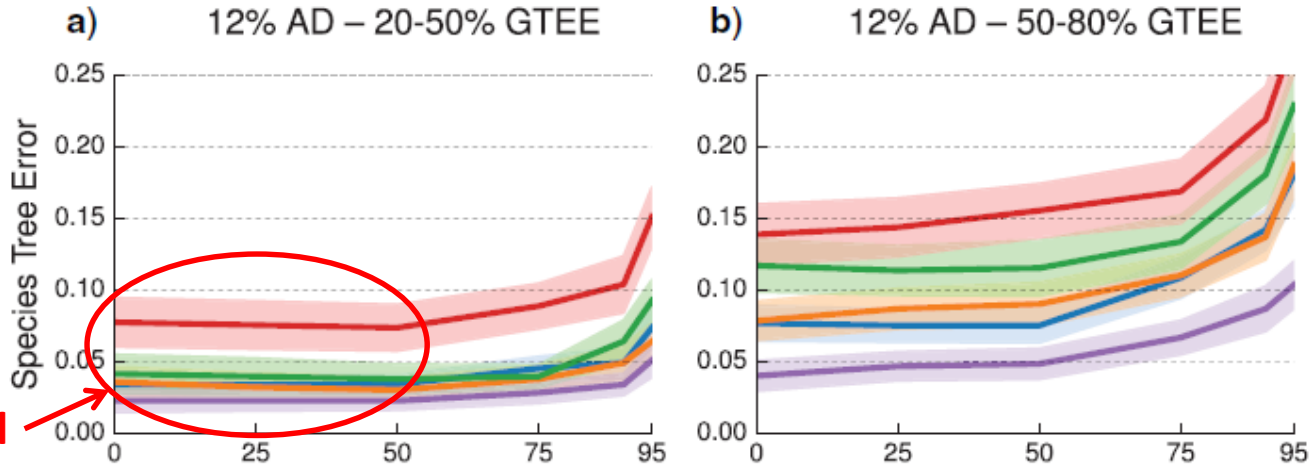


only helpful with variable (i.e., increased) sequencing effort, not with fixed (i.e., when 'nr. genes × nr. individuals' remains the same)

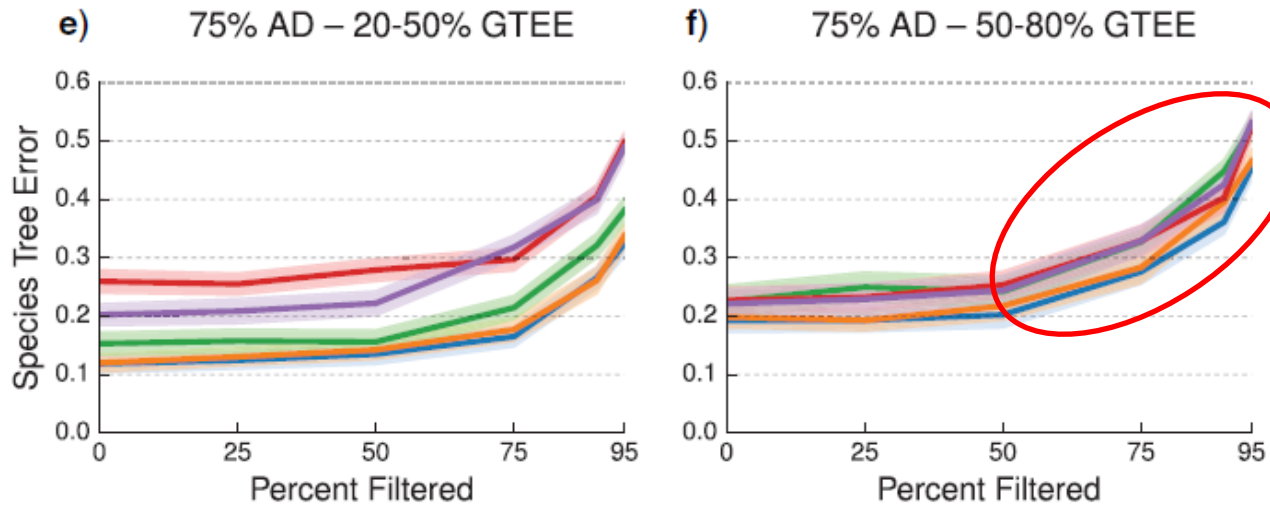
Filtering?

- genes based on missing species?
 - generally not beneficial (Moloy & Warnow 2018)
- genes based on gene tree estimation error (GTEE)
 - depends on condition (Moloy & Warnow 2018)
- filtering fragmentary sequences (and keeping gene)
 - often beneficial (Sayyari, Whitfield, Mirarab, 2017)

Gene filtering – missing species



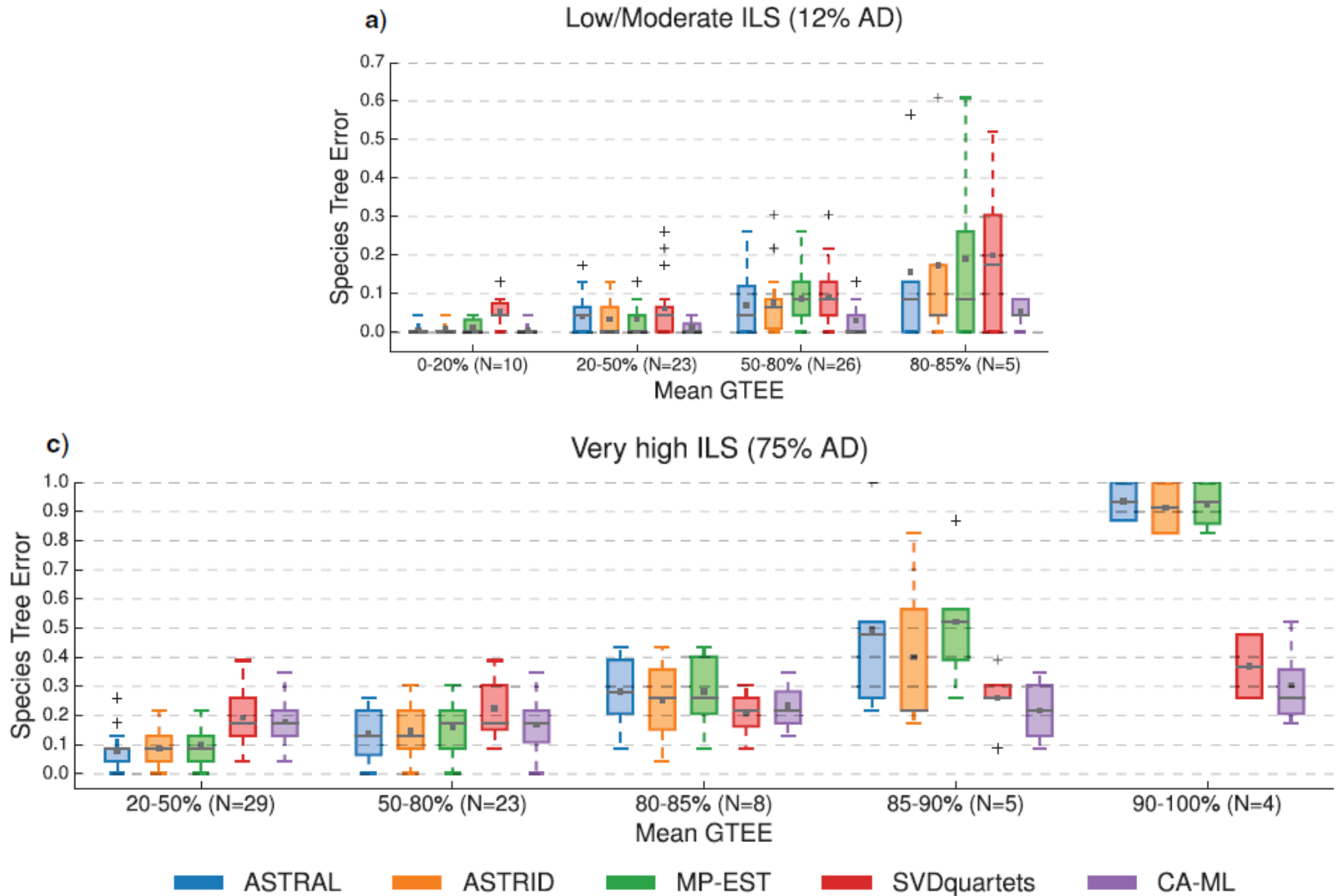
not beneficial



or even worth

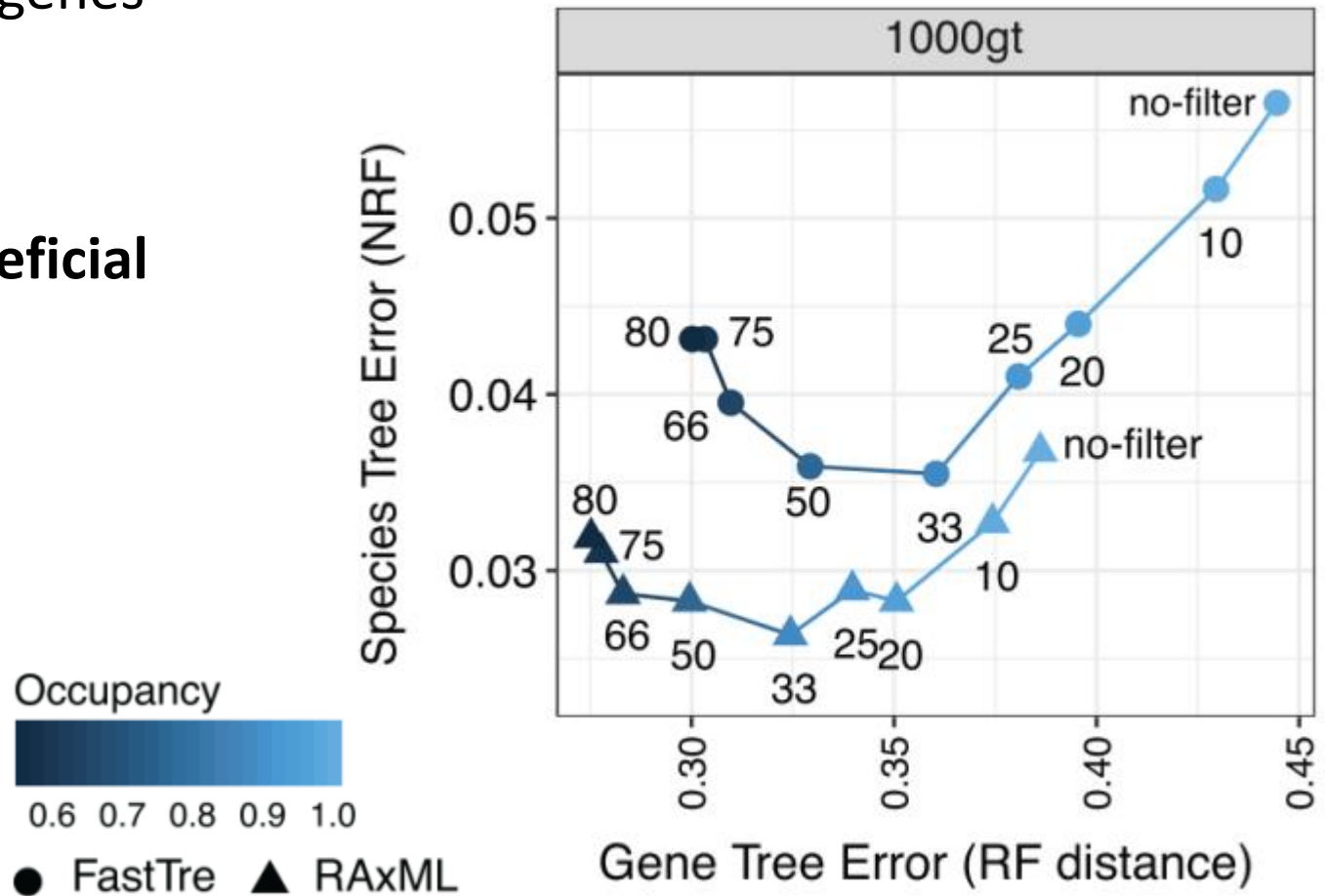
— ASTRAL — ASTRID — MP-EST — SVDquartets — CA-ML

Gene filtering – gene tree estimation error



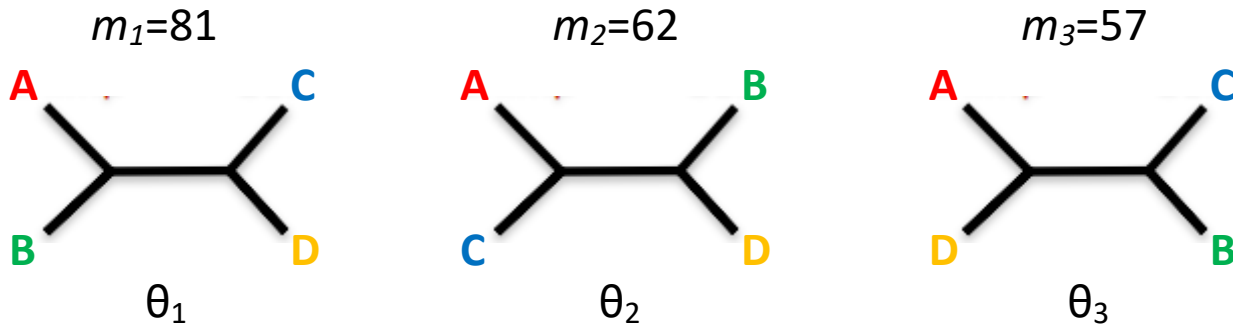
Filtering fragmentary sequences

- remove fragmentary data from genes
- BUT keep genes
- **often beneficial**



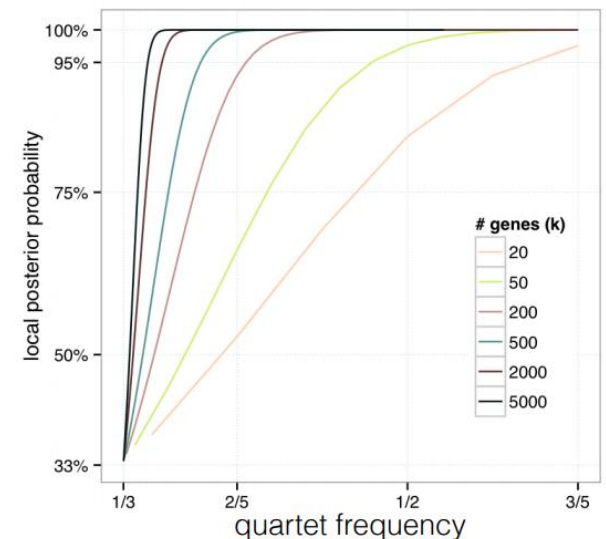
Local posterior probability

- quartet frequencies follow a multinomial distribution



- P (gene tree seen m_1/m times = species tree) = $P(\theta_1 > 1/3)$
 - possible to solve analytically
 - resulting measure is localPP
 - for $n > 4$ – averaging quartet scores
- more accurate and faster than multi-locus bootstrap (MLBS; Seo 2008)

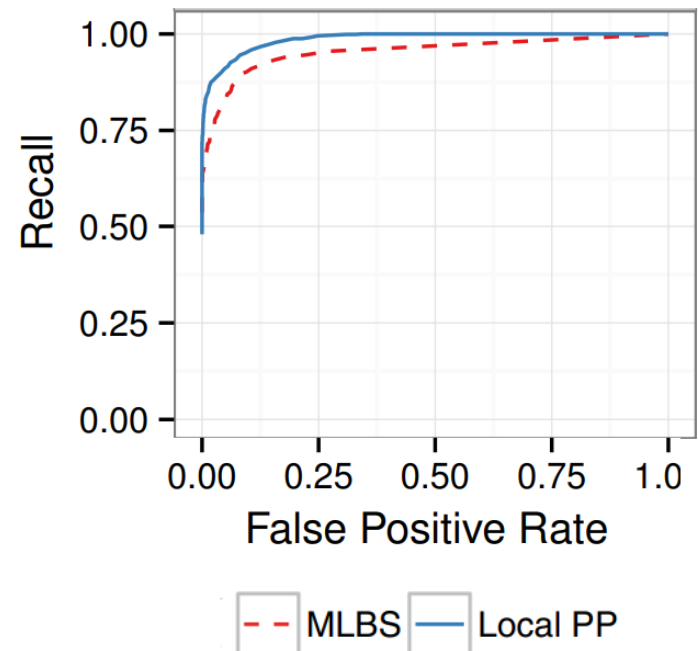
increased number of genes = increased support
 decreased discordance = increased support



localPP vs. MLBS

- LPP = local posterior probability
- MLBS = multi-locus bootstrap (Seo, 2008)
 - requires bootstrap replicate trees for each gene

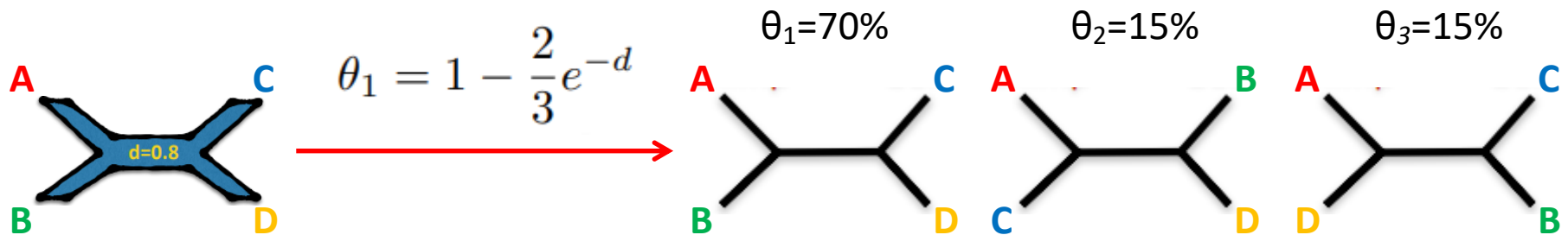
- localPP is more accurate than bootstrapping
- calculating localPP is also ca. 100× faster



Sayyari & Mirarab, 2016, MBE

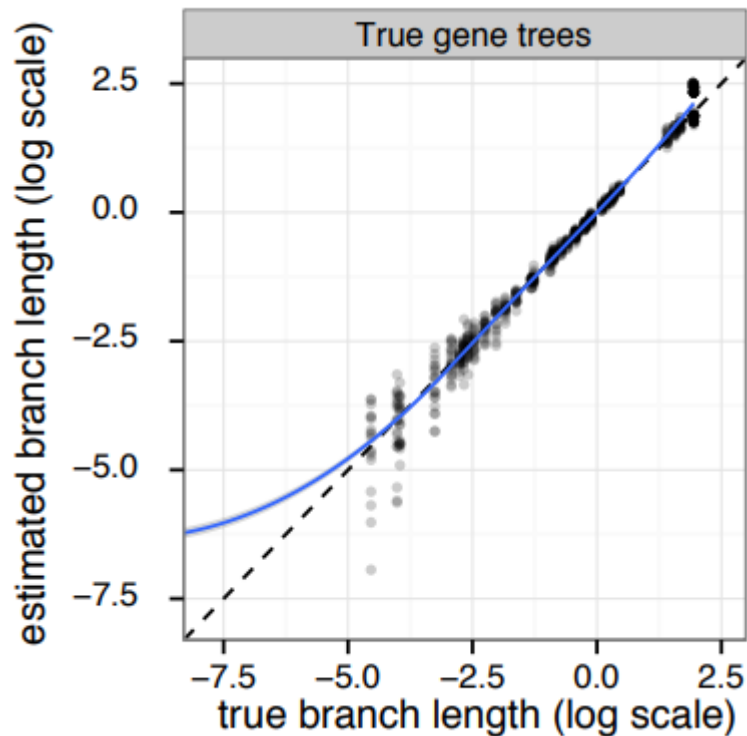
Branch length of ASTRAL trees

- branch length in coalescence units = the level of discordance
- for a single quartet (i.e., $n=4$) – reverse the discordance formula to get multilocus estimate
- for $n>4$ – average frequencies around the branch

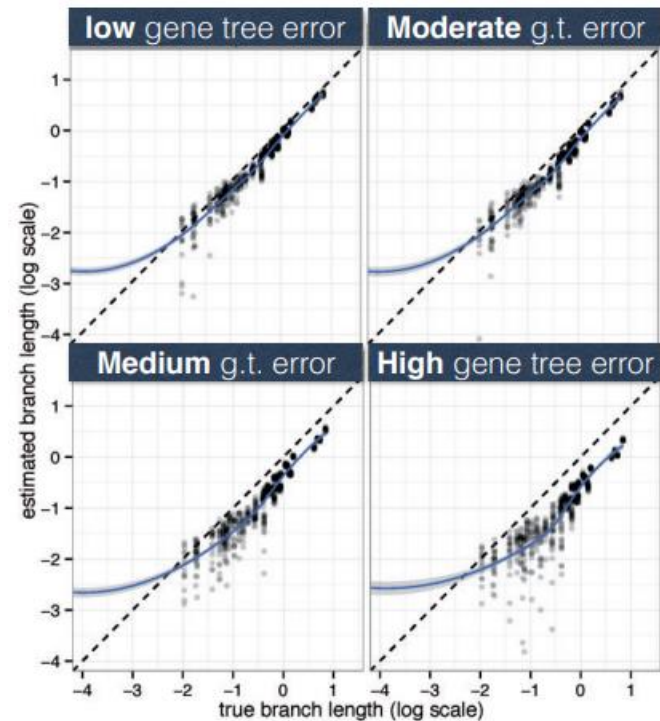


Sayyari & Mirarab, 2016, MBE

Branch lengths (BL) accuracy



correct BL estimate with *true* gene trees



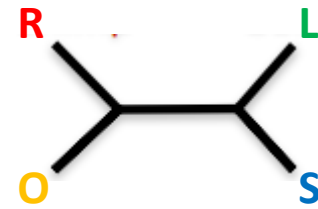
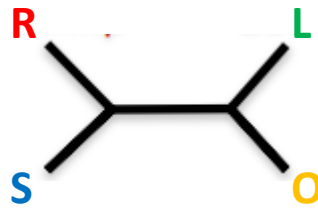
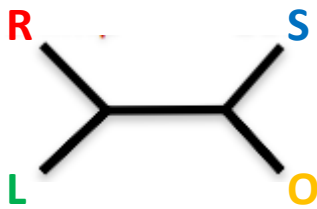
underestimated BL estimate with *error-prone* gene trees

ASTRAL problems

- assumption for statistical consistency
 - randomly distributed sample of gene trees
 - recombination-free
 - reticulation-free
 - error-free
 - orthologous
- in practice: reduced accuracy with low accuracy gene trees
- branch length
 - only for internal branches (unless multiple individuals per species)
 - in coalescent units, i.e., “true value” is a function of population size and generation time
- local posterior probability (LPP)
 - better than MLBS (empirically) but based on many assumptions

ASTRAL tree scoring

- *quartet support* (-t 1) – percentage of quartets that agrees with the branch (measuring the amount of gene tree conflict)
- *alternative posteriors* (-t 4) – three localPP: (1) main topology (RL|SO), (2) first alternative (RS|LO), (3) second alternative (RO|LS)



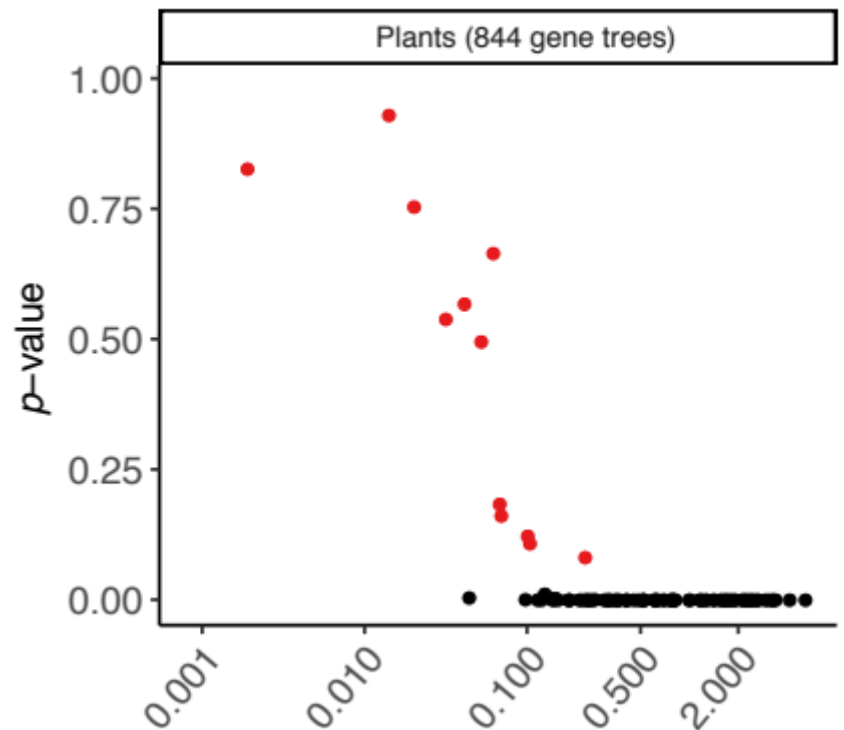
- *full annotation* (-t 2)
- *alternative quartet topologies* (-t 8) – quartet support for the main and alternative topologies
- *polytomy test* (-t 10) – runs a test if the hypothesis (branch is a polytomy) could be rejected

Hard polytomy test

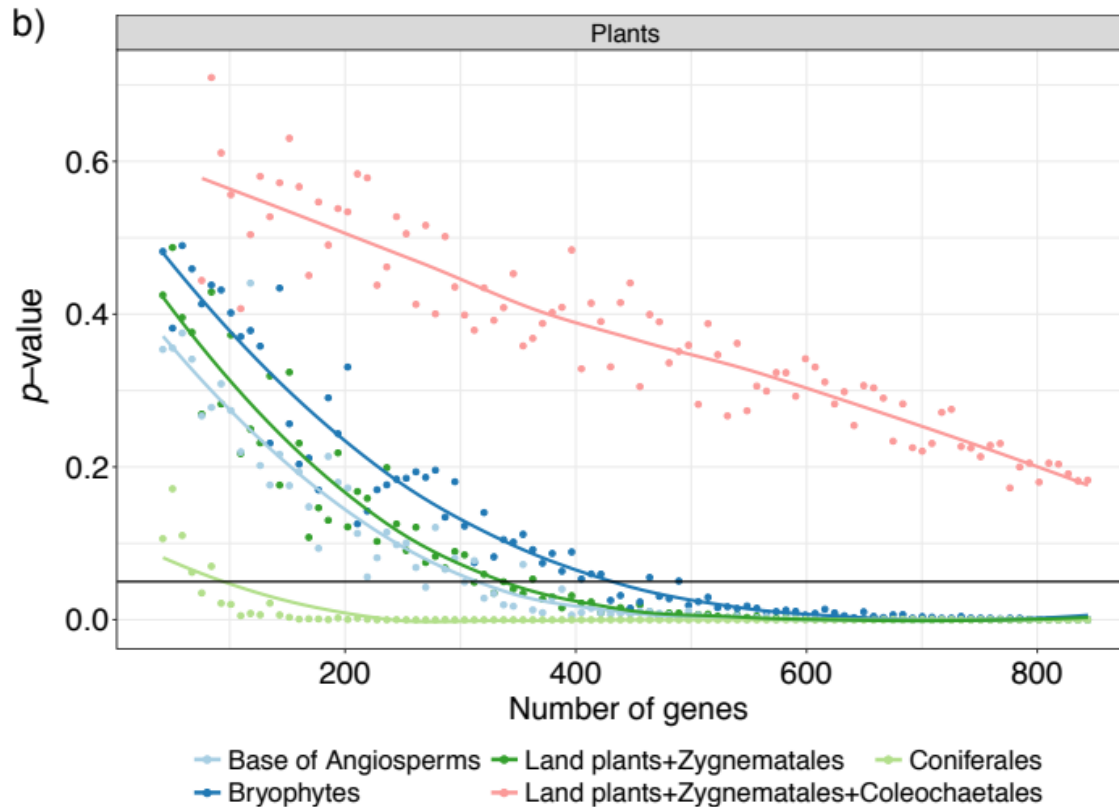
- soft polytomy – unresolved relationships in an estimated tree
- hard polytomy – multifurcation in the true tree
- null hypothesis – branch has zero length and should be removed to create a polytomy
- try to reject null hypothesis
 - reject (i.e., $p < 0.05$) – branch does exist (is supported by the data)
 - fail to reject – branch can be replaced by polytomy
- in ASTRAL with ‘-t 10’
- Sayyari, Erfan, Mirarab (2018): *Testing for polytomies in phylogenetic species trees using quartet frequencies*. Genes 9: 132

Polytomy vs. branch length

- x-axis – branch length in log CU (coalescence units)
- y-axis – polytomy test p-value
- points with $p < 0.05$ in black
- longer branches are usually supported



Polytomy tests – plant dataset



- polytomy hypotheses rejected with increasing number of genes
- correct relationship between *Chara* and Coleochaetales remains hard to resolve, may be with more data?

ASTRAL practical

<https://github.com/smirarab/ASTRAL>

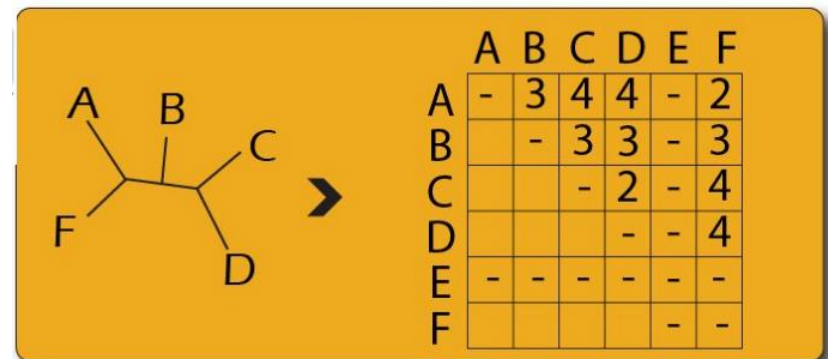
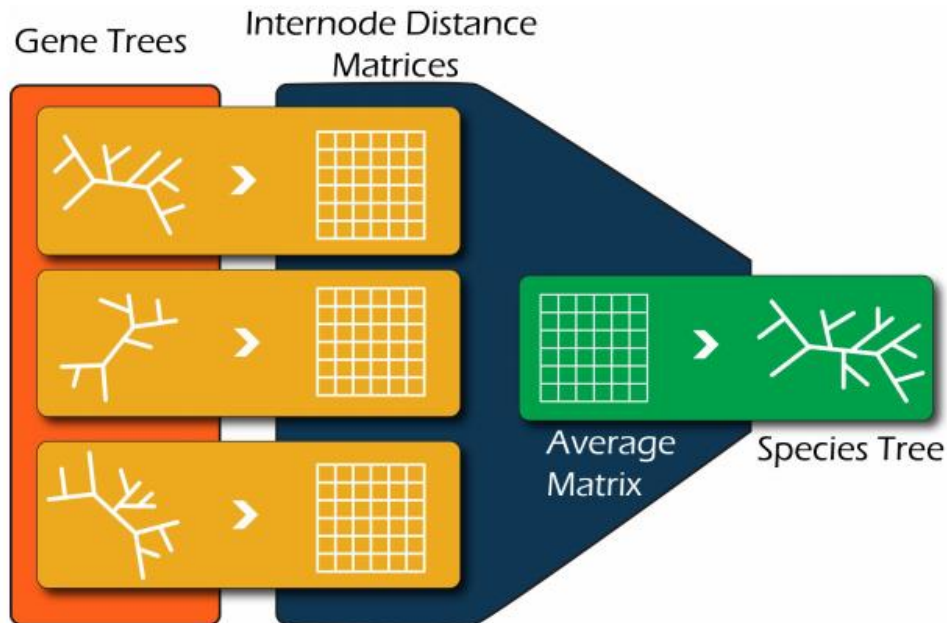
- **help:** `java -jar astral.5.7.3.jar`
- **simple run:** `java -jar astral.5.7.3.jar -i input.trees -o output.tre`
- **scoring existing tree:** `java -jar astral.5.7.3.jar -q existing.tre -i input.trees -o output.tre`
- **branch annotation:** `java -jar astral.5.7.3.jar -q existing.tre -i input.trees -t 2 -o output.tre`
- **polytomy test:** `java -jar astral.5.7.3.jar -q existing.tre -i input.trees -t 10 -o output.tre`
- **multilocus bootstrapping:** `java -jar astral.5.7.3.jar -i input.trees -b bootstrapTreeList -o output.tre`
(bootstrapTreeList is a text file containing file path of gene tree bootstrap files, one per line, i.e., file full of paths of files full of trees)

ASTRID

Accurate Species TREes from Internode Distances

github.com/pranjalv123/ASTRID

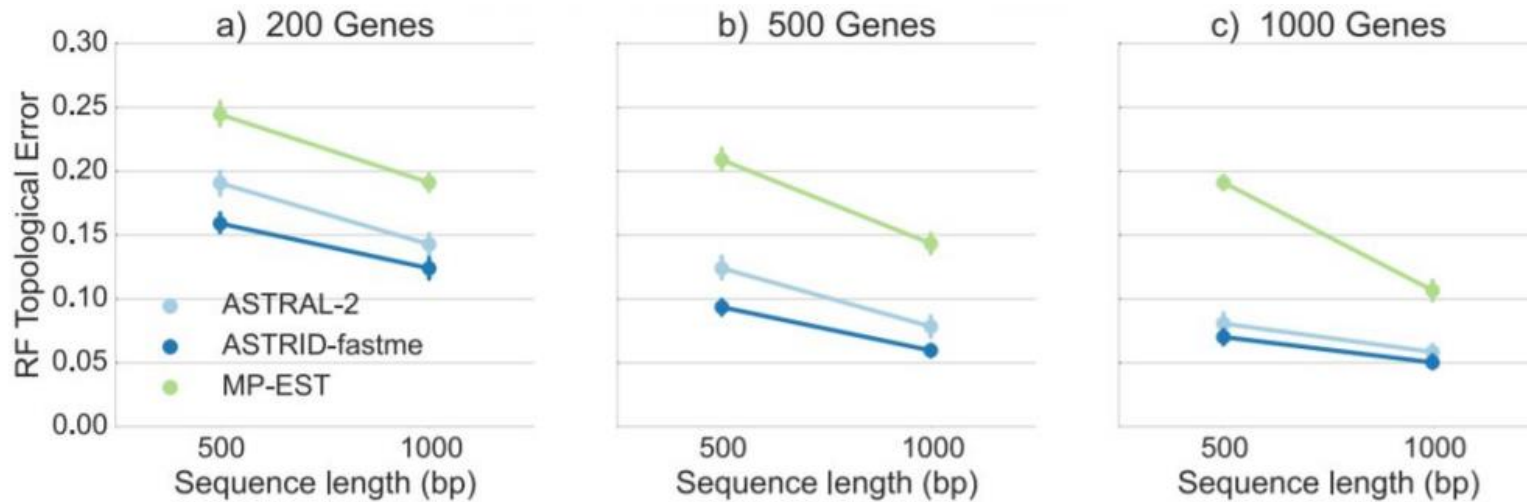
- species tree computed from internode distance matrices
- reimplement of NJst method (Liu & Yu, 2011)
- uses FastME-2 (Lefort, Desper & Gascuel 2015)
- fast, can scale to extremely large datasets



Pranjal Vachaspati

ASTRID accuracy

- high accuracy on datasets with ILS



- 47-taxon simulated dataset, high ILS

ASTRID practical

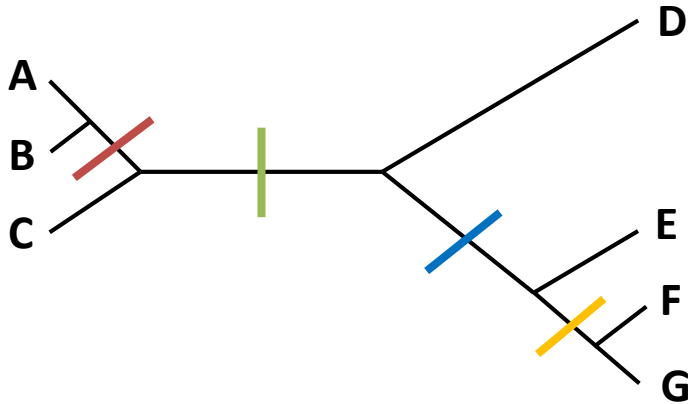
- download ASTRID binary
 - <https://github.com/pranjalv123/ASTRID/releases>
 - Win version: <https://github.com/pranjalv123/ASTRID-1/releases>
- run over your trees
 - `ASTRID -i input.trees -o output.tre`
- no support values unless you do MLBS
 - `ASTRID -i input.trees -b bootstrapTreeList -o output.tre`

MRL

Maximum Representation with Likelihood; Nguyen et al. 2012

- supertree method – estimates species tree on full taxon sets from sets of smaller trees (i.e., with missing species)
- encodes a set of gene trees by a large randomized matrix
 - using mrp.jar; <https://github.com/smirarab/mrpmatrix>
- each edge (branch) in each gene tree
 - ‘0’ for the taxa that are on one side of the edge
 - ‘1’ for the taxa on the other side
 - ‘?’ for all the remaining taxa (i.e., the ones that do not appear in the tree)
- MRL matrix is analyzed using heuristics for a symmetric 2-state Maximum Likelihood
 - in RAxML as ‘BINCAT’ model

MRL binary matrix



	*	*	*	*	...
A	1	1	1	1	
B	1	1	1	1	
C	0	1	1	1	
D	0	0	1	1	
E	0	0	0	1	
F	0	0	0	0	
G	0	0	0	0	
H	?	?	?	?	

randomization

	*	*	*	*	...
A	0	1	1	0	
B	0	1	1	0	
C	1	1	1	0	
D	1	0	1	0	
E	1	0	0	0	
F	1	0	0	1	
G	1	0	0	1	
H	?	?	?	?	

MRL

Maximum Representation with Likelihood; Nguyen et al. 2012

- download mrp.jar from <https://github.com/smirarab/mrpmatrix>
- run on your trees
 - `java -jar mrp.jar trees.nwk MRLmatrix.phylip -randomize`
- analyze MRL matrix is using RAxML as 'BINCAT' model
 - `raxmlHPC-PTHREADS -T nrCores -f a -s MRLmatrix.phylip -n MRLresult -m BINGAMMA -p 1234 -x 1234 -N nrBSreps`

Quartet Sampling (QS)

Replacement for bootstrap in phylogenomic studies...

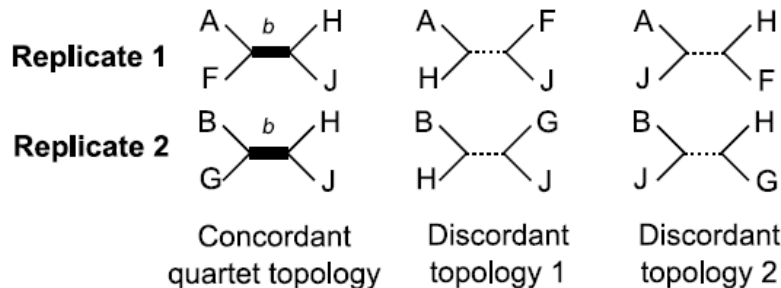
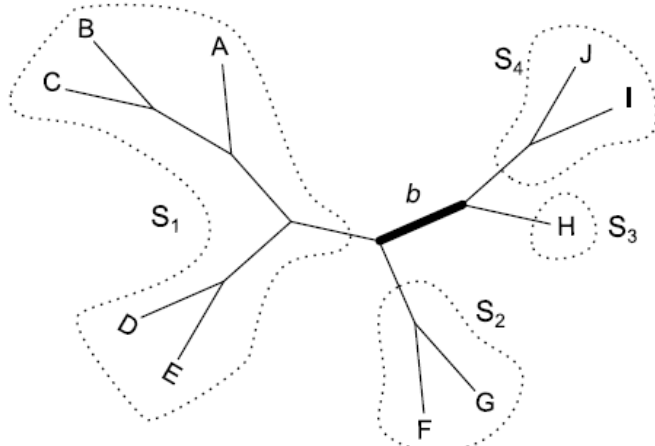
- quartet-based evaluation system
- synthesizes several phylogenetic and genomic analytical approaches
- discordance testing
- distinguishes strong conflict from weak support
- three different scores per branch
 - Quartet Concordance (QC)
 - Quartet Differential (QD)
 - Quartet Informativeness (QI)
- terminal node score
 - Quartet Fidelity (QF)

Pease et al. (2018): Quartet Sampling distinguishes lack of support from conflicting support in the green plant tree of life. *American Journal of Botany* 105(3): 385–403.

Quartet Sampling

- takes an existing phylogenetic topology and a molecular dataset
- evaluates internal branches – likelihood for all three possible phylogenies for the randomly selected quartets spanning particular branch

metaquartet



Quartet Sampling Internal Node Scores = 0.52 / 0.91 / 0.95

Quartet Concordance (QC)

How often is the concordant quartet inferred over both discordant quartets?
 QC=1 → all concordant
 QC=0 → equivocal conc./disc.
 QC<0 → discordant > conc.

Quartet Differential (QD)

Are discordant #1 and #2 frequencies equal or skewed?
 QD=1 → equal #1 and #2
 QD=0.3 → skewed
 QD=0 → all #1 or #2

Quartet Informativeness (QI)

What proportion of replicates were informative? (exceeded likelihood differential)
 QI=1 → all informative
 QI=0.3 → 30% informative
 QI=0 → none informative

Quartet Sampling Terminal Node Scores = (0.52)

Quartet Fidelity (QF)

When this taxon is sampled, how often does it produce a concordant topology?
 Examples:
 QF=1 → all concordant
 QF=0.1 → 10% concordant
 QF=0 → none concordant

Pease et al. (2018): Quartet Sampling distinguishes lack of support from conflicting support in the green plant tree of life. *American Journal of Botany* 105(3): 385–403.

Quartet Sampling

Replacement for bootstrap in phylogenomic studies...

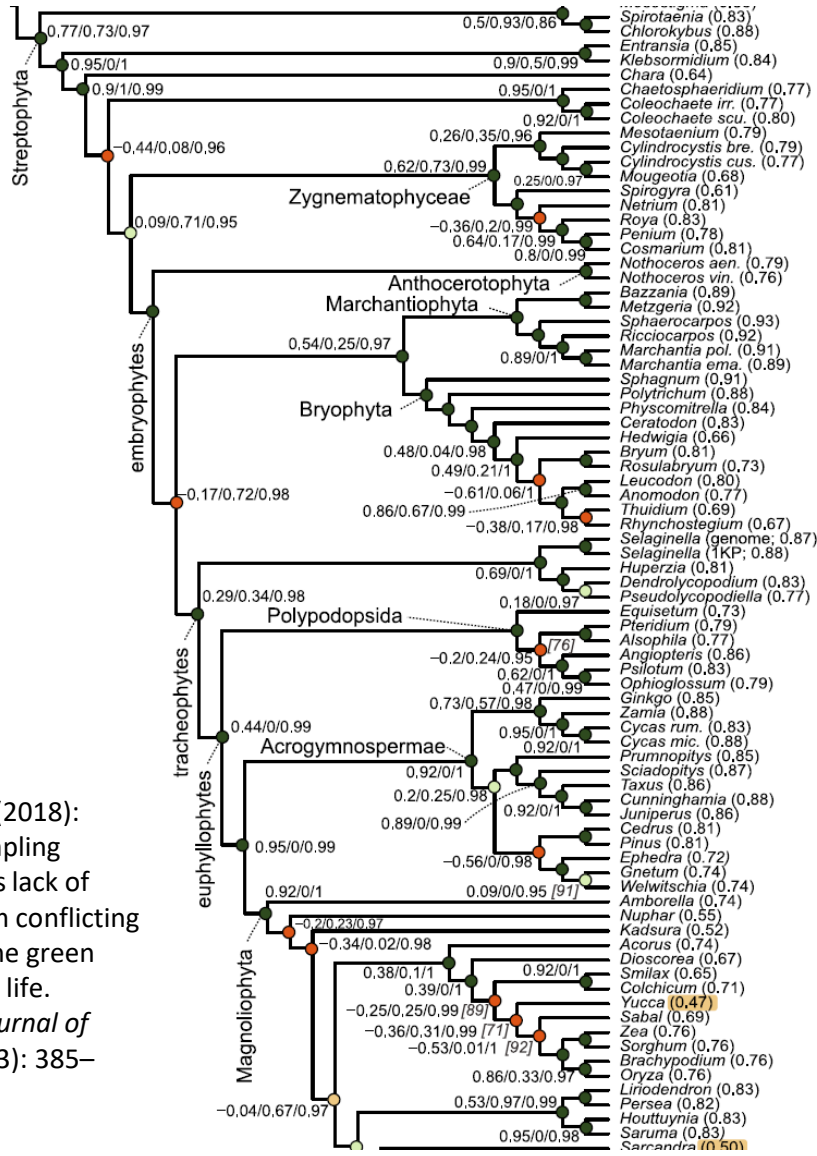
TABLE 1. Quartet Sampling (QS) score interpretation.

Example QS score (QC/QD/QI)	Interpretation
1.0/−1.0	Full support: All sampled quartet replicates support the focal branch (QC = 1) with all trees informative when likelihood cutoffs are used (QI = 1).
0.5/0.98/0.97	Strong support: A strong majority of quartets support the focal branch (QC = 0.5), and the low skew in discordant frequencies (QD ≈ 1) indicate no alternative history is favored.
0.7/0.1/0.97	Strong support with discordant skew: A strong majority of quartets support the focal branch (QC = 0.7), but the skew in discordance (QD = 0.1) indicates the possible presence of a supported secondary evolutionary history.
0.05/0.96/0.97	Weak support: Only a weak majority of quartets support the focal branch (QC = 0.05), and the frequency of all three possible topologies is similar (QD ≈ 1).
0.1/0.1/0.97	Weak support with discordant skew: Only a weak majority of quartets support the focal branch (QC = 0.1), and the skew in discordance (QD = 0.1) indicates the possible presence of a supported secondary evolutionary history.
−0.5/0.1/0.93	Counter-support: A strong majority of quartets support one of the alternative discordant quartet arrangement history (QC < 0; QD expected to be low).
1/0.97/0.05	Poorly informed: Despite supportive QC/QD values, only 5% of quartets passed the likelihood cutoff (QI = 0.05), likely indicating few informative sites.
0.0/0.0/1.0	Perfectly conflicted: The (unlikely) case where the frequencies of all three possible trees are equal and all trees are informative, which indicates a rapid radiation or highly complex conflict.

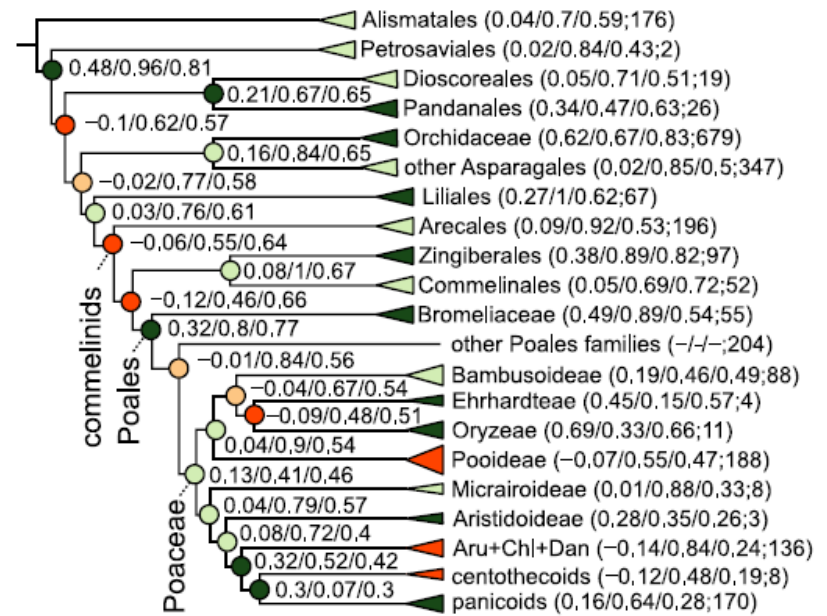
Notes: QC = Quartet Concordance; QD = Quartet Differential; QI = Quartet Informativeness.

Pease et al. (2018): Quartet Sampling distinguishes lack of support from conflicting support in the green plant tree of life. *American Journal of Botany* 105(3): 385–403.

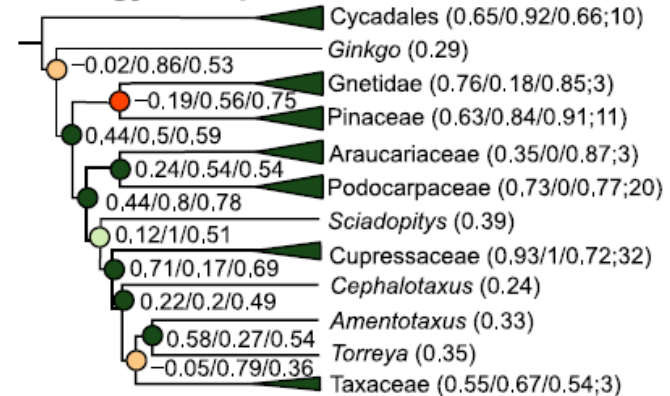
Quartet Sampling – land plants



C. Monocots



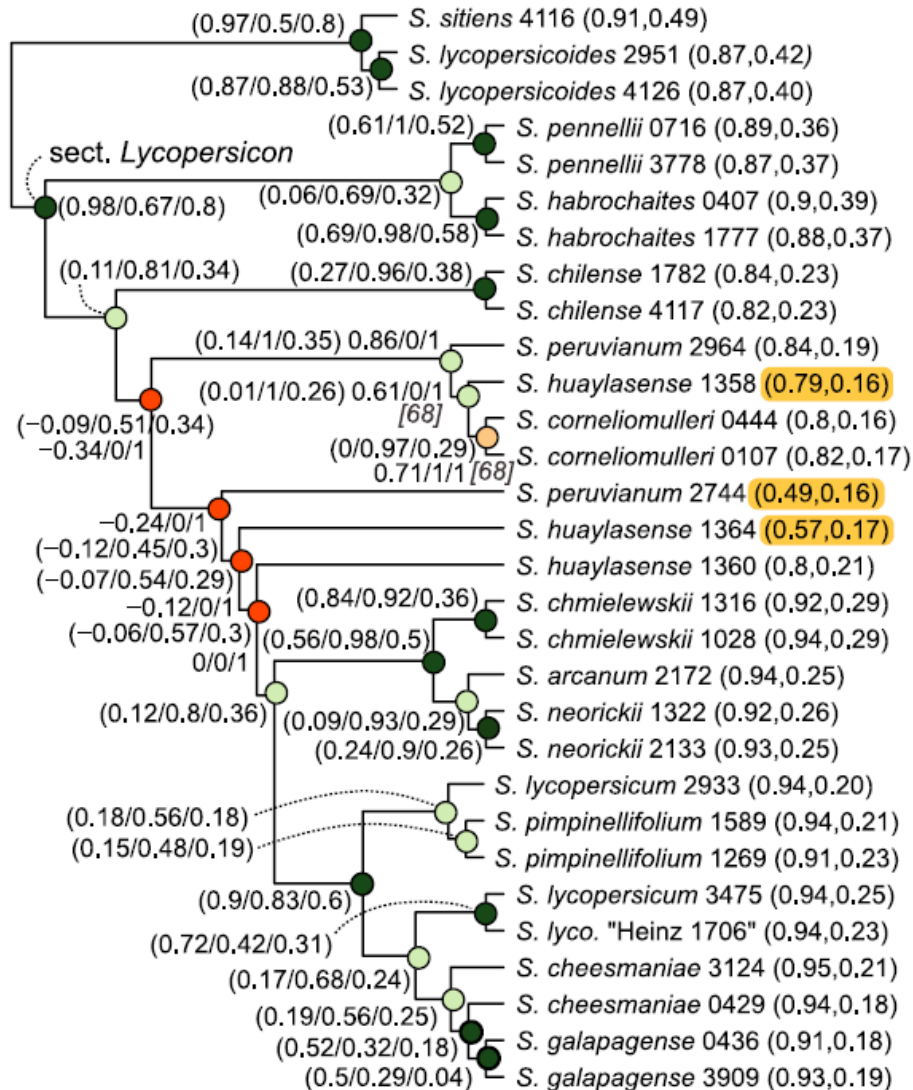
F. Acrogymnospermae



Pease et al. (2018):
 Quartet Sampling
 distinguishes lack of
 support from conflicting
 support in the green
 plant tree of life.
*American Journal of
 Botany* 105(3): 385–
 403.

Quartet Sampling – generic level

A. *Solanum* sect. *Lycopersicon*



Pease et al. (2016)



Quartet Sampling

<https://github.com/FePhyFoFum/quartetsampling>

- requirements
 - Python 3.x (Numpy and Scipy for some options)
 - RAxML-ng 0.9.0 (or RAxML 8.1+, *PAUP, IQ-TREE 1.6.x)
- NEWICK phylogeny
- alignment in Relaxed Phylip Format – DNA or AA
- RAxML-style partitions file (optional)
- ```
python3 quartet_sampling.py -tree TREE.nwk -align
ALIGNMENT.phy -reps 100 -threads 4 -lnlike 2
```



# Quartet Sampling

<https://github.com/FePhyFoFum/quartetsampling>

## output tables

- **RESULT.node.scores.csv** (scores and other info per branch/terminal)
- **RESULT.node.counts.csv** (counts of concordant and discordant quartet arrangements)

## output trees

- **RESULT.labeled.tre.freq/qc/qd/qi** (Newick tree with the each internal branch labeled with frequency of concordant replicates or QC/QD/QI scores)
- **RESULT.labeled.tre.figtree** (FigTree format phylogeny that contains all QS scores and a “score” field with QC/QD/QI for internal branches)



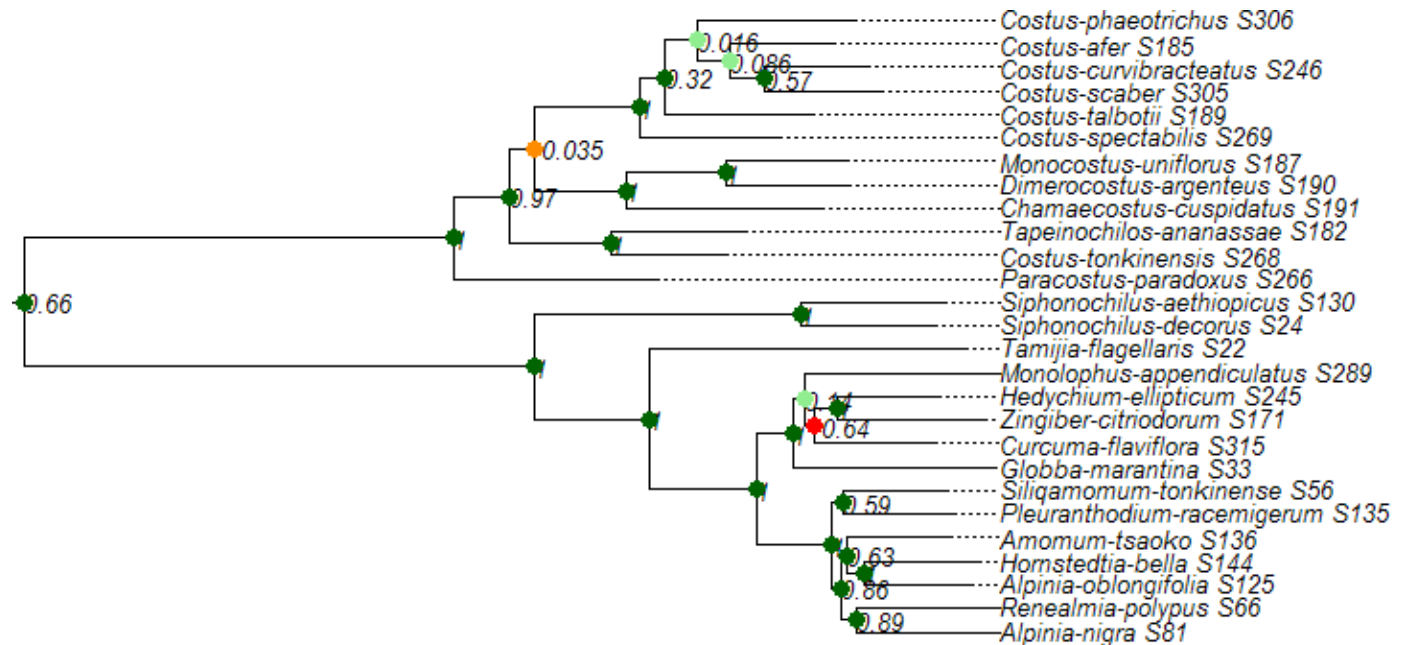
# Quartet Sampling

<https://github.com/FePhyFoFum/quartetsampling>

modify and plot tree using a custom script (bash/R)

<https://github.com/tomas-fer/scripts/blob/master/quartetsampling.r>

- RESULT.labeled.tre.qc – tree with 'qc' values (used later for coloring nodes)
- RESULT.labeled.tre.figtree – tree with all values (used for plotting the tree and three scores)





# Quartet Sampling

<https://github.com/FePhyFoFum/quartetsampling>

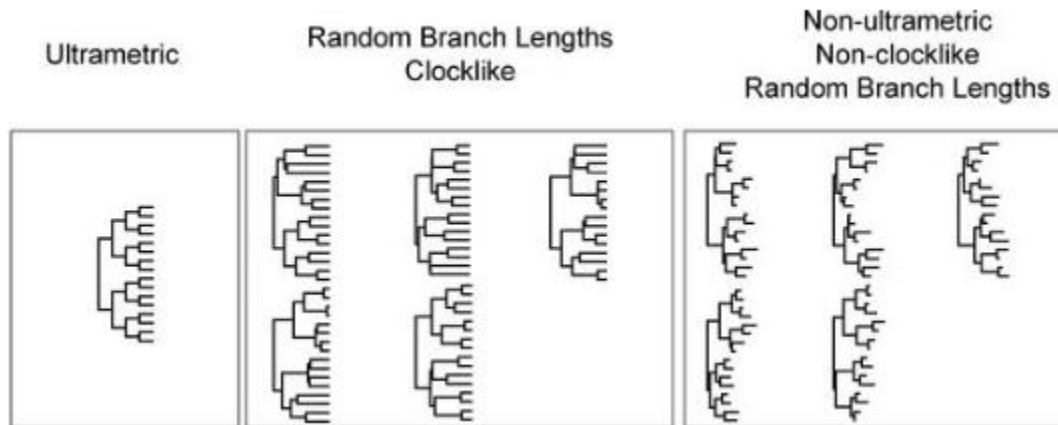
- **start interactive job on MetaCentrum**
  - `qsub -I -l select=1:ncpus=4:mem=4gb`
- **go to your directory (with species tree and concatenated alignment)**
- **download quartet sampling from GitHub**
  - `git clone https://github.com/FePhyFoFum/quartetsampling.git`
- **enable modules**
  - `module add python-3.6.2-gcc`
  - `module add raxml-ng-8`
- **run this to see options**
  - `python3 quartetsampling/pysrc/quartet_sampling.py -h`
- `quartetsampling/pysrc/quartet_sampling.py --tree Astral.tre --align concatenated.phylip --reps 100 --threads 4 --lnlike 2`
- **process the tree with the instructions in**  
<https://github.com/tomas-fer/scripts/blob/master/quartetsampling.r>

# Gene selection/filtering

- **missing data**
  - bases per accession (...remove accession)
  - species per gene (...remove gene)
  - alignment improvement
- **variability/informativness**
  - information content
  - nr. or % variable/parsimony informative sites
  - GC content
  - evolutionary rate (slow/fast genes)
- **tree characteristics**
  - overall bootstrap support (higher BS = stronger phylogenetic signal)
  - **clocklikeness** (a measure how close to ultrametric a tree is: the algorithm finds a root that minimizes the coefficient of variation in root to tip distances and returns that value; a lower value is more clock-like, an ultrametric tree has a score of 0)
  - **long branch score** (standard deviation from the taxon-specific long branch score defined by Struck, 2014)
- **saturation** (simple linear regression on uncorrected p-distances against inferred distances, i.e., branch length - slope and  $R^2$ ; higher values mean lower saturation potential)

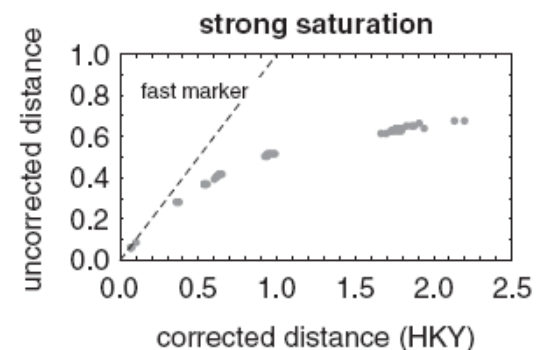
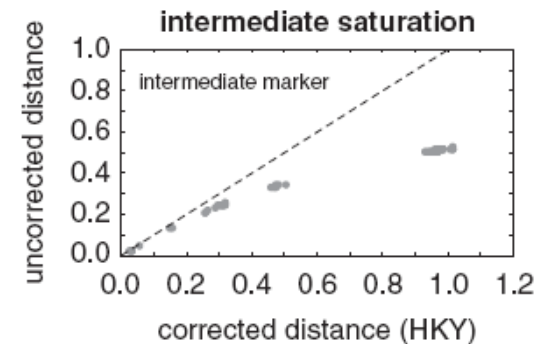
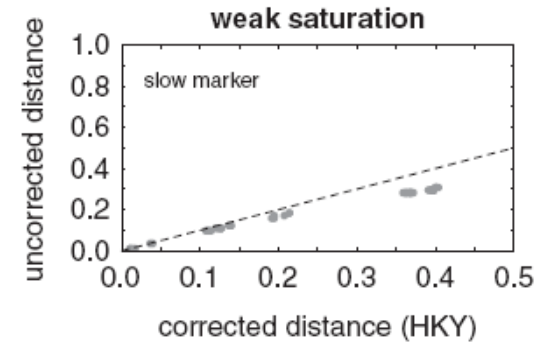
# Clocklikeness

- a measure how close to ultrametric a tree is
- the algorithm finds a root that minimizes the coefficient of variation in root to tip distances and returns that value
- a lower value is more clock-like
- an ultrametric tree has a score of 0



# Saturation

- simple linear regression on
  - uncorrected p-distances
  - inferred distances, i.e., branch length
- slope and  $R^2$  is reported
- higher values mean lower saturation potential

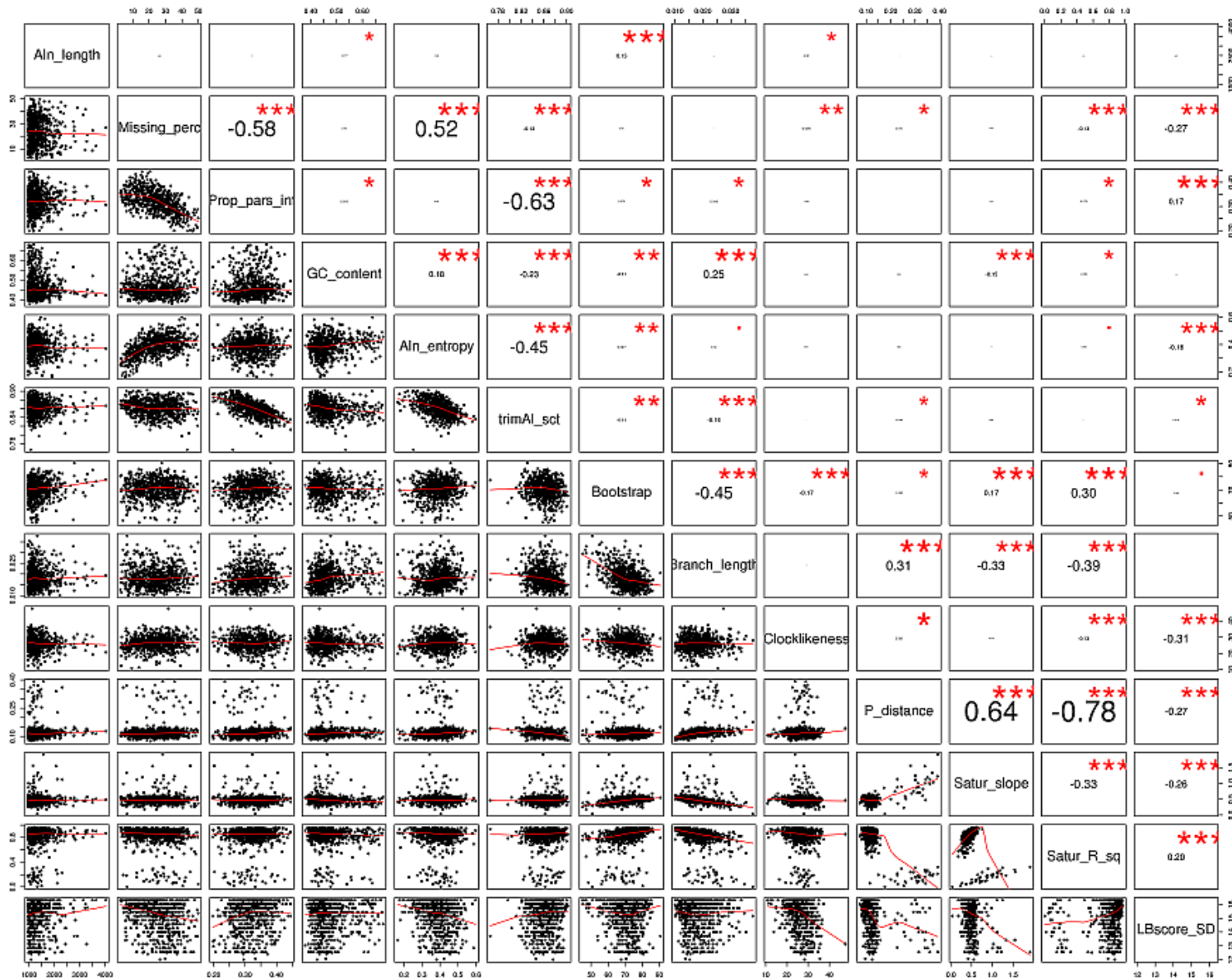




# How to calculate alignment/tree characteristics?

- **AMAS** (<https://github.com/marekborowiec/AMAS>)
  - concat, convert, summary, remove, translate
  - `python3 AMAS.py summary -f fasta -d dna -i *.fasta`
- **R scripts** (e.g., [https://github.com/marekborowiec/good\\_genes](https://github.com/marekborowiec/good_genes))
  - average bootstrap
  - average branch length
  - saturation (slope,  $R^2$ )

# Relationships among alignment/tree characteristics



Thank you...



dragon blood tree (*Dracaena cinnabari*), Socotra

# References

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